

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER NAK1-BN21
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. UNKNOWN, SEE 37 CFR <b>09/701753</b>
INTERNATIONAL APPLICATION NO. PCT/JP00/02384	INTERNATIONAL FILING DATE April 12, 2000	PRIORITY DATE CLAIMED April 12, 1999	
TITLE OF INVENTION <b>IMAGE DISPLAY APPARATUS</b>			
APPLICANT(S) FOR DO/EO/US <b>Isao Kawahara</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>			
Items 13 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>20. <input checked="" type="checkbox"/> Other items or information:</li> </ol>			
<div style="border: 1px solid black; padding: 10px; min-height: 100px;"> Copy of PCT Application </div>			

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR <b>09/701753</b>		INTERNATIONAL APPLICATION NO. PCT/JP00/02384		ATTORNEY'S DOCKET NUMBER AK1-BN21	
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21. The following fees are submitted:

<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>				<b>CALCULATIONS PTO USE ONLY</b>	
<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1,000.00			
<input checked="" type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$860.00			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$710.00			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$690.00			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100.00			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			<b>\$860.00</b>		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30			<b>\$0.00</b>		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	45 - 20 =	25	x \$18.00	<b>\$450.00</b>	
Independent claims	10 - 3 =	7	x \$80.00	<b>\$560.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,870.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,870.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30			+	<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,870.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				<b>\$40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,910.00</b>	
				Amount to be: refunded	\$
				charged	\$

☒ A check in the amount of **\$1,910.00** to cover the above fees is enclosed.

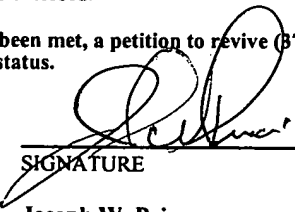
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **16-2462** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Joseph W. Price  
PRICE AND GESS  
2100 S.E. Main St., Ste. 250  
Irvine, CA 92614  
Tel: 949/261-8433  
Fax: 949/261-9072

  
SIGNATURE

Joseph W. Price  
NAME

25,124  
REGISTRATION NUMBER

December 1, 2000  
DATE

525 R PCT/PTO 09/701753  
01 DEC 2000

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**COPY OF INTERNATIONAL SEARCH REPORT**

Applicant(s): Isao Kawahara

Title: IMAGE DISPLAY APPARATUS

Attorney's  
Docket No.: KAM1-BN12

**"EXPRESS MAIL" MAILING**  
**LABEL NO. EL227718246US**

**DATE OF DEPOSIT: December 1, 2000**

09/701753

PRICE AND GESS <sup>520</sup> Rec'd PCT/PTO 01 DEC 2000

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4  
#3  
3-31-01

FORM PTO 1449 WITH THREE (3) REFERENCES

Applicant(s):

Isao Kawahara

Title:

IMAGE DISPLAY APPARATUS

Attorney's

Docket No.:

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**COPY OF PCT APPLICATION**

Applicant(s): Isao Kawahara  
Title: IMAGE DISPLAY APPARATUS  
Attorney's  
Docket No.: KAM1-BN12

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## DRAWINGS - TWENTY-SIX (26) SHEETS

Applicant(s):

Isao Kawahara

Title:

IMAGE DISPLAY APPARATUS

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**SPECIFICATION, CLAIMS, AND ABSTRACT**  
**SEVENTY-SIX (76) PAGES**

Applicant(s):

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Title:

IMAGE DISPLAY APPARATUS

Attorney's

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## PRELIMINARY AMENDMENT

Applicant(s):

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IMAGE DISPLAY APPARATUS

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**DECLARATION AND POWER  
OF ATTORNEY FOR PATENT APPLICATION**

Applicant(s): Isao Kawahara  
Title: IMAGE DISPLAY APPARATUS  
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Docket No.: KAM1-BN12

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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

Applicant(s):

Isao Kawahara

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IMAGE DISPLAY APPARATUS

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